

January 28, 2013

To: Ron Robinson, LSA
Fr: Office of Treasurer of State

1. How many employees do you have? What's the five year trend for staffing levels?

TOS currently has 29 employees (28.8 FTE's). Number of FTE's has not changed in the past five years.

2. How are the above employees classified? How many are exempt, non-exempt, and collective bargaining?

Nineteen employees are exempt. Ten are non-exempt and covered by collective bargaining.

3. How many of your FTE's are federal funded?

No TOS employees are federally-funded.

4. How many Federal grants does your agency receive and what's the matching rate? What's the future matching rate anticipated to be? How much Federal funding?

TOS does not receive any federal grants.

5. How many early retirements were taken?

One person retired under SERIP.

6. Of those openings, how many have been filled?

The position vacated under SERIP has been filled.

7. What are your ideas for making service delivery in your Department more efficient?

Current projects and plans include remote deposit capture, migrating systems from mainframe, and increased use of the web for communication with stakeholders.

8. Share the progress of your Department's "Lean" projects.

Not applicable.

9. How much of your revenue comes from other state agencies?

About 40% of our resources come from the general fund and 60% from other sources.

10. How much of your revenue comes from fees?

TOS does not charge fees.

11. What has been your five year budget trend?

General fund appropriation decreased almost 20% from FY09 to FY10 and has remained at that level since.

12. Regarding current FY 2013, how does your current budget situation look? Do you expect any savings?

We do not expect to revert any of our appropriation to the general fund at fiscal year-end.

13. Have there been any recent reclassifications of individuals in your department? How many and what were the changes?

Two employees were reclassified from Investment Officer 1 to Admin Assistant 1.

14. In regard to monetary bonuses, how do you measure employee outcomes?

We do not give monetary bonuses to employees.